




Substitute for form 104B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/037,153-Conf. #5605	
			Filing Date	December 21, 2001	
			First Named Inventor	Chul-Shan Teresa Lam	
			Art Unit	3621	
Examiner Name	C. L. Hewitt				
Sheet	1	of	1	Attorney Docket Number	09469/010001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Claim Document	Pages, Columns, Lines, Where Relevant Paragraph or Relevant Figure Appar.
CR	AA*	US-6,792,424-B1	09-14-2004	International Business Machines Corporation	Burns et al.
	AB*	US-6,370,250-B1	04-09-2002	International Business Machines Corporation	Stein
	AC*	US-6,351,812-B1	02-26-2002	AT&T Corp.	DATA et al.
	AD*	US-6,157,723	12-05-2000	Motorola, Inc.	SCHULTZ
	AE*	US-6,047,374	04-04-2000	Sony Corporation	BARTON
	AF*	US-6,035,402	03-07-2000	CIS CyberTrust Solutions Incorporated	VAETH et al.
	AG*	US-6,009,177	12-28-1999	Cardco LLC	SUDIA
	AH*	US-5,373,561	12-13-1994	Bell Communications Research, Inc.	HADER et al.

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Claim Document	Pages, Columns, Lines, Where Relevant Paragraph or Relevant Figure Appears	Y ⁴
		Country Code ² -Number-Kind Code ³ (if known)	MM-DD-YYYY			
	BA	WO-01/17160-A1	03-08-2001	Motorola Inc.		✓
	BB	WO-00/19652	04-08-2000	POOVENDRAM, Radhakrishnan, et al.		✓

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw the through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Table C of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 19 if possible. ⁶Applicant to be placed a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Y ²
	CA	International Search Report dated 1/19/2004 (9 pages)			
	CB	T. GREANIER; "Discover the Secrets of the Java Serialization SPI"; Articles Index No. XP-00226284; July 2000 (6 pages).			
	CC	L. OPYRCHAL, et al.; "Efficient Object Serialization in Java"; Publication No. XP-002242373; Department of Electrical Engineering and Computer Science, University of Michigan; May 1999; 6 pages.			
	CD	Z. CANELA, et al.; "Integrating Web-Based User Interfaces in TMN Systems", PP. 110-119; Alcatel Alsthom Corporate Research Center, France; 1998 IEEE; 10 pages			
	CE	ISA/ European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages.			
	CF	PGP Firewall for Windows 95, Windows 98, Windows NT, Windows 2000 & Windows Millennium, User's Guide Version 7.0, January 2001, Network Associates, 246 pages			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw the through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant to be placed a check mark here if English language Translation is attached.

Examiner Signature	/Calvin Hewitt II/	Date Considered	10/02/2006
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